APPENDIX C

GEOTECHNICAL REPORT

REVISED PRELIMINARY GEOTECHNICAL INVESTIGATION REPORT

For

4302 Ford Road Newport Beach, California

Prepared For: **Ford Road Holdings, LP**

Prepared By: **Langan Engineering & Environmental Services 32 Executive Park, Suite 130 Irvine, California 92614**

> **26 October 2017 Revised 13 December 2018 700048801**

LANGAN

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REVISED PRELIMINARY GEOTECHNICAL INVESTIGATION REPORT

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Prepared By: Langan Engineering & Environmental Services 32 Executive Park, Suite 130 Irvine, California 92614 **Enrique Riutort, PE, GE Senior Project Engineer** GE# 2683 1.4 Vo. GE 3042 yo Glasha Diane M. Fiorelli, PE, GE **Principal/Vice President** GE#3042 **26 October 2017 Revised 13 December 2018**

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1. INTRODUCTION

As requested by Hines (the Client), we have updated our preliminary geotechnical engineering investigation report for the proposed construction of a three-story building with a one-story below grade parking garage (the Project) at 4302 Ford Road in the city of Newport Beach, Orange County, California (the Site). The purpose of this updated report is to address changes in the design of the proposed Project, and update our geotechnical recommendations.

The recommendations provided herein are based on the 2016 California Building Code (2016 CBC), City of Newport Beach's Title 15 - Buildings and Construction Code, specifically Excavation and Grading Code (Chapter 15.10), and the updated preliminary plans of the Project titled, "Ford Road Residential, Newport Beach" dated 31 July 2018 prepared by MVE + Partners. Elevations referenced herein are with respect to Northern American Vertical Datum of 1988 (NAVD88), unless otherwise noted.

Environmental issues (such as potentially contaminated soil) are outside the scope of this study and should be addressed in a separate study, if applicable.

2. PROJECT DESCRIPTION

2.1 Site Description

The Site is an approximate 1 acre trapezoidal-shaped parcel located at the southeast corner of MacArthur Boulevard and Bonita Canyon Drive at 4302 Ford Road in the city of Newport Beach, California. The Site is bounded by MacArthur Boulevard to the west, Bonita Canyon Drive to the north, Bonita Canyon Sports Park to the south and an existing AT&T building to the east, as shown on Figure 1.

The central and western part of the Site is currently vegetated with ground cover, shrubs, and mature trees. The southern site limits run parallel with an existing concrete pedestrian jogging path, in addition to ascending upwards to the concrete jogging path with an approximate 2H:1V (horizontal:vertical) fill slope from el. 192 to approximate el. 200.

The eastern part of the Site slopes upward at approximately a 2H:1V fill slope that ascends from el. 192 to approximate el. 200 and existing AT&T employee only parking lot. The natural slope is vegetated with ground cover, shrubs, and cactus.

2.2 Proposed Construction

Based on proposed site plans and elevation sections provided by the Client on 30 November 2018, we understand the Project will consist of construction of a three-story above grade multi-family building built on top of a one-story below grade parking structure with mechanical, electrical, and plumbing rooms. Proposed construction footprint is approximately 16,600 square feet.

At the time of this updated preliminary geotechnical investigation report, column and wall loads have not been develop yet.

3. GEOLOGIC REVIEW

3.1 Regional Geology

The Site is located within the Peninsular Ranges Geomorphic Province of Southern California. The Peninsular Ranges Geomorphic Province consists of a series of mountain ranges separated by northwest trending valleys subparallel to faults that branch from the San Andreas Fault.

Specifically, the Site is located on the western margin of the Los Angeles Basin, an extensive sediment-filled depression bound by the Santa Monica and San Gabriel Mountains to the north, the Pacific Ocean to the west, the Palos Verdes Peninsula to the southwest, San Jose Hills to the south, Santa Ana Mountains to the southeast, and the Puente and Chino Hills to the east. The structural history of the Los Angeles Basin includes extension and strike-slip faulting followed by oblique contraction via thrusting and strike-slip faulting.

3.2 Site Geology

According to the California Geological Survey (CGS), "Seismic Hazard Zone Report for the Tustin 7.5-Minute Quadrangle, Orange County, California (SHZR 012)", the site is underlain by Pleistocene marine deposits (Qvoma+aa). In general, the deposit consist of dense to very dense sand and silty sand with local looser fine sands and silty layers. Underlying the Pleistocene marine deposits is Capistrano Formation. In general the Capistrano formation consists of grey fine sandy siltstone with local clay layers.

3.3 Geologic Hazards

Our geologic hazard review was performed in general accordance with California Geological Survey (CGS) "Special Publication 117A, Guidelines for Evaluating and Mitigating Seismic Hazards in California", the 2006 City of Newport Beach (City) General Plan - Safety Element, 2015 County of Riverside (County) General Plan, Safety Element, and the 2016 edition of California Building Code (2016 CBC). The following subsections present the results of our review of geologic hazards as they pertain to the Site.

Regional Faulting – Recognized and mapped faults that are located within a 100 kilometer (km) radius of the Site based on the CGS "2010 Fault Activity Map of California" (Fault Activity Map) and "An Explanatory Text to Accompany the Fault Activity Map of California" (Explanatory Text) are shown on Figures 3A and 3B, respectively. Based on our review, the closest known currently established Holocene-age faults to the Site are the North Branch Fault, approximately 5.2 miles west of the Site, and an unnamed fault, approximately 13.4 miles west of the Site.

The Site is located in a seismically active area that has historically been affected by generally moderate to occasionally high levels of ground motion. Due to the Site's close proximity to several active faults, the proposed development will probably experience similar moderate to occasionally high ground shaking from these fault as well as ground shaking from other seismically active faults of the southern California region.

Regional Seismicity – A search of the CGS earthquake catalogue using the computer program EQSearch found that 64 earthquakes with magnitude 5.0 or greater have occurred within a 100 km radius of the Site between 1800 and 2017. In addition, a search of the USGS ANSS Comprehensive Earthquake Catalog, updated through 22 October 2017 using a web-based Earthquake Archive Search and URL builder tool, found that 25 earthquakes with magnitudes

between 5.0 or greater have occurred within a 100-km radius of the Site between 1900 and 2017. Summaries of the EQSearch and USGS ANSS reported earthquakes are provided in Appendix A.

Surface Rupture – The Site is not within a mapped Alquist-Priolo Earthquake Fault Zones as defined by the Alquist-Priolo Earthquake Fault Zoning (AP) Act. Geologic review does not indicate the presence of active surface faulting within the Site.

Liquefaction – Liquefaction is a transformation of soil from a solid to a liquefied state during which saturated soil temporarily loses strength resulting from the buildup of excess pore water pressure, during earthquake-induced cyclic loading. Soil susceptible to liquefaction includes loose to medium dense sand and gravel, low-plasticity silt, and some low-plasticity clay deposits.

Based on the CGS, "Earthquake Zones of Required Investigation Tustin Quadrangle (SHZ Tustin 7.5 Minute Quadrangle)" (2001), – the site is not located within a currently established area that is susceptible to liquefaction. Based on the City of Newport Beach's "General Plan, Safety Element" (2006), the Site is not located within a currently established area that is susceptible to liquefaction. Refer to Figure 4.

Lateral Spreading – Lateral spreading is a phenomenon in which a surficial soil displaces along a shear zone that has formed within an underlying liquefied layer. The surficial blocks are transported downslope or in the direction of a free face, such as a slope, by earthquake and gravitational forces. The Site is not located within a currently established liquefaction hazard zone; therefore, lateral spreading is not anticipated. Refer to Figure 4.

Seismic-Induced Ground Deformations – Seismic-induced ground deformations include ground surface settlement and differential settlement resulting from liquefaction-induced ground deformation and cyclic densification of unsaturated sands and gravels due to earthquakes. The Site is not located within a liquefaction hazard zone and groundwater is about 50 feet below grade; therefore, liquefaction-induced ground deformations are not anticipated. The Site will be underlain by engineered fill overlying competent marine deposits; therefore, significant differential settlement due to cyclic densification is not anticipated.

Landslides – Based on the City's Safety Element, the Site is not located within an earthquakeinduced landslide hazard zone or a landslide potential hazard zone. Refer to Figure 4.

Historic High Groundwater – Based on the City's Safety Element – Geology and Seismic Hazards Section and site-specific data, the historically highest groundwater is estimated to be about 50 feet below ground surface.

Flood Mapping - Based on City's Safety Element – Flood Hazard Section and related Exhibit S-5 Flood Hazards, the Site is not located within a currently established flood hazard area. Refer to Figure 6.

Based on Federal Emergency Management Agency's (FEMA) Flood Insurance Rate Map (FIRM) Number 06059C0288J, dated 3 December 2009, and revised to reflect 11 July 2014 Letter Of Map Revision, the Site is within a currently established 'Zone X; areas of 0.2% annual flood,

areas of 1% annual change of flood with average depths of less than 1 foot or with drainage areas less than 1 square mile, and are protected by levees from 1% annual chance flood.

Tsunami and Seiche – A tsunami is a long high sea wave caused by an earthquake, submarine landslide, or other disturbance. A seiche is oscillation of surface water in an enclosed or semienclosed basin such as a lake, bay, or harbor. The Site is not located near a coastline and the Site is not within the immediate vicinity an enclosed body of water therefore; potential for a tsunami or seiche to affect the Site does not exist.

Expansive Soils – Expansive soils can result in differential movement of structures including slab heave and cracking, differential movement of foundations, and cracking of pavements and sidewalks. The 2016 CBC defines potentially expansive soils as soils with expansion indices (EI) greater than 20. Site-specific EI testing was not performed as part of our investigations, and should be performed upon completion of recommended grading if cohesive materials are encountered at the bottom of the proposed excavation.

Collapsible Soils – Collapsible soils, or soils susceptible to hydroconsolidation, are geologically young, unconsolidated, low-density, loose, dry soils commonly present in arid to semi-arid regions, such as Southern California. These soils generally occur within wind deposited sands or silts, alluvial fans, colluvial soils, stream banks, or residual mudflow soils. Collapsible soils have granular particles that are chemically cemented in place creating a porous structure. Once water is introduced, the porous structure collapses and the granular particles are rearranged. A rise in groundwater or increase in surface-water infiltration, combined with the weight of a structure, can cause rapid settlement, resulting in cracking of foundations and walls. Based on the reported Site geologic conditions and subsurface information reviewed for the Site, soils potentially susceptible to hydroconsolidation are not anticipated.

4. SUBSURFACE INVESTIGATION & LABORATORY TESTING

4.1 Subsurface Investigation

Our field investigation consisted of drilling 3 borings, identified as B-1 through B-3 and performing 2 cone penetrometer tests (CPTs). Borings B-1 and B-3 were drilled to 50 feet below ground surface (bgs). Boring B-2 was drilled to a depth of 70 feet bgs and CPT-1 and CPT-2 were advanced to depths of 70 feet bgs. Ground surface elevations at the boring and CPT locations have been inferred from elevations shown on the site survey with respect to NAVD88. Refer to Figure 2 for approximate boring and CPT locations.

In preparation for drilling, the boreholes and CPTs were located in the field by a Langan Engineer, DigAlert Underground Service Alert was contacted to markout known utilities within the public right-of-way, and a private utility locating subcontractor performed a subsurface utility check at the boring locations to check the locations for subsurface utilities or anomalies.

The borings were drilled on 5 and 6 October 2017 by 2R Drilling under the full-time observation of a Langan field engineer. A limited-access drill rig with an 8-inch outer diameter hollow stem auger was used to advance the boreholes.

Sampling using a 3-inch-outer-diameter split barrel California sampler lined with 2.42-inch-innerdiameter brass rings and a 2-inch outer diameter split-spoon sampler was performed at select depths. Soil materials were visually examined and classified in the field in accordance with the

Unified Soil Classification System (USCS). A copy of the Boring Logs is provided in Appendix B. Upon completion of drilling and logging, the borings were backfilled with a bentonite grout mixture.

Two (2) CPTs, identified as LCPT-1 and LCPT-2 were performed on 5 October 2017 by Kehoe Testing and Engineering under full-time engineering observation of a Langan field engineer. The CPTs were advanced to approximately 70 feet below existing grade. CPT holes were backfilled with bentonite and patched with concrete upon completion.

The CPTs were performed in accordance with ASTM D5778 by hydraulically pushing a 1.4-inchdiameter cone-tipped probe into the ground. Electrical strain gauges within the cone continuously measured soil data for the entire depth advanced, including tip resistance at the cone tip and frictional resistance on the friction sleeve behind the cone. Copies of the CPT logs are provided in Appendix C.

4.2 Percolation Testing

Two (2) percolation tests were performed in borings at depths of approximately 10 feet below existing ground surface. The percolation tests were performed in general accordance with the methods presented in the "Technical Guidance Document", prepared by Santa Ana Regional Quality Control Board, dated 19 May 2011 (updated December 2013). Percolation test results are attached in Appendix E.

4.3 Laboratory Testing

Soil samples obtained from the geotechnical borings were visually examined in the field, and classifications were confirmed by re-examination in our Irvine, California office. The following tests were performed on select samples:

- Moisture Content and Density ASTM D2937
- Direct Shear ASTM D3080
- Consolidation ASTM D 2435
- Atterberg Limits ASTM D 4318
- Sieve Analysis Passing No. 200 ASTM D 422
- Sulfate Content DOT CA Test 417-B
- Electrical Resistivity DOT CA Test 532
- Chloride Content DOT CA Test 422
- Soil pH DOT CA 643

The laboratory test results are provided in Appendix D.

5. SUBSURFACE CONDITIONS

Based on our review of the geologic and subsurface information, and available information obtained to date, the Site has ascending fill slopes on the eastern and southern portion is generally underlain by Pleistocene marine terrace deposits. Our interpretation of the subsurface conditions based on borings, and laboratory test results is summarized below. Refer to Figures 8 and 9, and Boring Logs (Appendix B) and CPT Logs (Appendix C) for additional subsurface information.

 Fill (af) – The fill generally consisting of tan to brown, medium dense, silty fine to medium sands with trace amounts of clay.

- Pleistocene marine terrace deposits (Qvoma+aa) Marine terrace deposits generally consisting of tan to red-brown, medium dense to very dense, silty and clayey fine to medium sands with varying amounts of silt and clay; as well as grey/brown-red to black, stiff to hard, silty clay with varying amounts of fine sand.
- Capistrano Formation (Tcs) Underlying the Pleistocene marine deposits is Capistrano Formation. In general the formation consists of grey fine sandy siltstone with local clay layers. A interlayer of hard light grey siltstone was also encountered at boring location B-2 at an approximate depth of 66 to 71 feet below existing ground surface.
- Groundwater Groundwater was not observed within our borings or measured within the cone penetrometer test locations.

6. FOUNDATION EVALUATION AND RECOMMENDATIONS

The available boring data indicates that materials beneath the proposed building consist of Pleistocene marine terrace deposits which are generally suitable for support of the proposed development on shallow foundations (i.e. spread or strip footings).

6.1 Shallow Foundations

Shallow footings bearing on Pleistocene marine terrace deposits (Qvoma+aa) may be designed with an allowable bearing pressure of ranging from 3,000 to 4,000 pounds per square foot (psf) per for continuous and spread footings embedded a minimum depth of 24 inches below the lowest adjacent grade and having a minimum width of 12 inches. The recommended bearing pressures can be increased by up to 33 percent for temporary transient loading such as earthquake or wind.

Footing excavations should be performed using a backhoe bucket fitted with a smooth steel plate welded across the bucket teeth to minimize disturbance during excavation and to provide a smooth bearing surface.

The foundation bearing level excavation subgrade should be observed and approved by a qualified Geotechnical Engineer prior to steel or concrete placement.

Foundations should be constructed as soon as possible following subgrade approval. The contractor shall be responsible for maintaining the subgrade in its as approved condition (i.e. free of water, debris, etc.) until the footing is constructed.

Shallow foundations designed in accordance with the above parameters are anticipated to settle less than one (1) inch under static loading and less than one (1) inch under dynamic (cyclic) loading with differential settlements less than 0.5 inch over 50 feet.

6.2 Lateral Resistance

Foundations bearing on appropriately prepared subgrade at the basement level and first floor level can be designed to resist lateral sliding using a coefficient of friction equal to 0.35. If sliding resistance is deemed insufficient, shear keys can be introduced to provide supplemental sliding resistance. Should additional lateral resistance be required, we should be notified in

order to perform additional analyses and develop supplemental recommendations to resist the intended loads.

6.3 Seismic Design Parameters

For design of the project in accordance with the seismic provisions of the 2016 California Building Code (2016 CBC), we recommend the following parameters be used:

- Mapped Spectral Accelerations S_s and S_1 of 1.630g and 0.594g, respectively.
- Site Class D
- Site Coefficients F_A and F_V of 1.0 and 1.5, respectively.
- Maximum Considered Earthquake (MCE) spectral response acceleration parameters at short periods, S_{MS} , and at one-second period, S_{M1} , of 1.630g and 0.891g, respectively.
- **Design Earthquake (DE) spectral response acceleration parameters at short period,** S_{DS} **,** and at one-second period, S_{D1} , of 1.086g and 0.594g, respectively.
- \bullet MCE Geometric Mean Peak Ground Acceleration PGA_m of 0.651g.

6.4 Corrosion Considerations

Chemical analyses performed on existing surficial material are summarized in the following table.

Based on the minimum resistivity, pH, sulfate and chloride contents, the upper 5 feet of existing surface material are considered to be non-corrosive (Caltrans 2012) to concrete. The sulfate concentration, pH, and chloride concentrations indicate soils are moderately to severly corrosive to ferrous metals. Per American Concrete Institute's (ACI), Type II cement (minimum) can be used for concrete exposed non- corrosive soil. Additional corrosion testing should be performed on actual subgrade materials and recommendations prepared during grading as needed to mitigate concrete corrosion and protect ferrous pipes, structures, valves, and fittings to be installed underground at the Site. A copy of the corrosion test results is provided in Appendix C.

6.5 Floor Slabs

Expansive soils are not anticipated to impact the slabs or foundations; therefore, we preliminarily recommend the slab on grade located at parking level B1 slab can be designed using a modulus of subgrade reaction of 175 pounds per cubic inch (pci). Slab reinforcement should be designed by a Structural Engineer to include sufficient reinforcement for shrinkage at a minimum. Upon completion of the recommended grading, an evaluation of the expansion potential of the foundation-bearing materials should be made, at which time, final recommendations should be presented.

A moisture barrier consisting of 4 inches of clean sand with 6-mil polyethylene capillary break with joints lapped not less than 6 inches is recommended below the basement slab.

If expansive soils are encountered within the foundation and/or slab areas, methods commonly used to reduce the effects of expansive soils include: controlling the moisture content of the soils through effective site grading and types of planting, moisture conditioning the soils prior to placement of surface finishes, use of impermeable barriers around foundations, confinement of expansive soils through the use of non-expansive soil caps and chemical stabilization. If isolated areas of clays are identified beneath the proposed slab, we recommend removal and replacement with sandier material.

7. PERMANENT BELOW-GRADE WALLS

Below-grade walls can be designed to resist soil and surcharge pressures using the parameters below and pressure distributions in Figure 7.

- \bullet Soil Unit Weight = 120 pounds per cubic foot (pcf)
- \bullet Friction Angle = 30 degrees
- \bullet At-rest Earth Pressure (restrained wall) = 55 psf / foot
- Active Earth Pressure (unrestrained wall) = 35 psf / foot
- \bullet Ultimate sliding resistance coefficient = 0.35
- The vertical distance between the proposed final grade and the proposed top of foundation is anticipated to be greater than 6 feet for the proposed development, and the design peak ground acceleration at the Site is greater than 0.6g; therefore, additional earth pressures caused by seismic ground shaking should be considered in design. Below-grade and site retaining walls should be designed for seismic loading conditions using the active earth pressure plus the seismic force increment of 20 psf / foot.
- Lateral loads from surcharges on the retaining wall backfill may be considered to impart surcharge to the restrained walls presuming a rectangular pressure distribution. Surcharge loading from adjacent foundations should be considered where the adjacent foundations are supported on soil above a 1H:1V theoretical influence line projecting upwards from the base of the below grade wall. Lateral loading from neighboring foundations need not be considered if these foundations bear below the abovementioned influence line.
- Surcharge loading should consider adjacent streets, vehicular traffic, and sidewalks. Where vehicular traffic will pass within 10 feet of below-grade walls, temporary traffic loads should be considered in the design of walls. Traffic loads such as a fire truck or car parked on the street beyond the sidewalk may be modeled by a minimum uniform pressure of 100 psf / foot applied on the upper 10 feet of the walls.

Because groundwater was not encountered, and historical information associated with adjacent structures indicates its depth to be substantially below the lowest proposed finished level, special provisions for waterproofing below-grade areas do not appear to be warranted at this time. As a minimum, we recommend damp-proofing (such as Grace Water Shield water barrier membrane or equivalent) be used in below-grade closed areas that may house equipment, finishes, or occupants that could be adversely impacted by moisture intrusion. A final choice regarding moisture or vapor protection and mitigation for enclosed below-grade areas should be made after reviewing environmental site conditions and below-grade space use and performance criteria. To avoid undesired vapor accumulation behind walls, prefabricated drainage panels (such as MiraDRAIN or equivalent) are recommended to be placed in uniformly

spaced strips behind the walls; for typical 4-foot-wide drainage panel rolls, we recommend a 4-foot edge-to-edge spacing at this time. In addition, a perimeter foundation drain should be installed to collect and route any accumulated water to the site drainage system. Perimeter foundation drains could consist of perforated, Schedule 40 PVC, minimum 4-inch diameter, PVC pipe surrounded with clean gravel and completely encased in geosynthetic filter fabric.

The above values assume backfill materials will consist of compacted fill comprised of excavated on-site soils including silty sands and fine to coarse-grained sands. If conditions other than those covered herein are anticipated, the lateral earth pressures should be provided on an individual basis by the Geotechnical Engineer.

If trees with deep-rooted or widespread rooted systems or vegetation are to be planted within 30 feet of the below-grade walls, the client and the Geotechnical Engineer should consult with the Project Landscape Architect to discuss landscaping alternatives that will not impact the adjacent walls and foundations.

8. CONSTRUCTION RECOMMENDATIONS

8.1 Excavation and Grading

Before beginning excavation and grading, a meeting should be held at the Site with the Owner, City Inspector, excavation/grading Contractor, Civil Engineer, and Geotechnical Engineer to discuss the work schedule and geotechnical aspects of the grading.

All pavement, vegetation, and deleterious materials should be disposed of offsite before beginning grading operations.

Any foundation and abandoned utility remnants or construction debris associated with former site structures encountered within excavations should be fully removed, where practical, and any void spaces that may be created should be backfilled with approved compacted structural fill. If utility pipes are too deep to be removed economically in proposed pavement areas, they should be filled with cement and sand grout or equivalent material that will prevent future collapse of the pipe.

After completion of excavation, including removal of all below-grade remnants, stripping, grubbing, removal of asphalt, base course material, and the soil subgrade should be compacted in place by proofrolling with at least 6 passes of a vibratory roller compactor having a minimum static drum weight of 5 tons. Any areas exhibiting rutting or pumping should be removed and replaced with compacted engineered fill material.

Any soft, loose, or unsuitable soils identified by the Geotechnical Engineer during subgrade preparation should be removed and replaced with approved compacted fill.

Any environmentally unsuitable soils encountered during the excavation process should be removed and properly disposed of off-site in accordance with all state and local regulations.

Surface site elements, such as site pavers, planters, and walkways can be supported on subgrade soils comprised of compacted fill or native alluvial soils prepared in accordance with the recommendations provided herein.

8.2 Site Drainage and Temporary Construction Dewatering

Proper drainage should be maintained at all times. Ponding or trapping of water in localized areas can cause differing moisture levels in the subsurface soil. Drainage should be directed

away from the tops of excavations. Erosion protection and drainage control measures should be implemented during periods of inclement weather. During rainfall events, backfill operations may need to be restricted to allow for proper moisture control during fill placement. Based on our subsurface investigation, groundwater was not encountered within the Site and a dewatering permit during construction is not anticipated. However, during periods of inclement weather water may become trapped at bottom of excavations and require dewatering. In this instance a dewatering permit may be necessary for storm water.

8.3 Fill Material and Compaction Criteria

Fill material (imported or reused) should be free of organic and other deleterious materials and should have a maximum particle size no greater than 3 inches. The on-site soils are suitable for use as compacted fill. All fills should be placed in accordance with the placement and compaction criteria discussed in this report. Imported fill should contain no more than 12 percent passing the #200 sieve by dry weight and have a plasticity index less than 7. Grain size distributions, corrosivity, maximum dry density, and optimum water content determinations should be made on representative samples of the proposed fill material.

All structural backfill and fill beneath building slabs and pavements should be placed in uniform lifts (maximum 8 inches thick before compaction) and compacted to a minimum of 95 percent of the maximum dry density at a moisture content within 2 to 3 percent of optimum moisture content, as determined by ASTM D1557 (Modified Proctor compaction).

Non-structural backfill should be placed in uniform lifts (maximum 8 inches thick before compaction) and compacted to at least 90 percent of its maximum dry density at a moisture content within 3 percent of optimum moisture content, as determined by the ASTM D1557 (Modified Proctor compaction).

Non-structural fill having less than 15 percent finer than #200 sieve should be compacted to at least 95 percent of its maximum dry density at a moisture content within 3 percent of optimum moisture content, as determined by the ASTM D1557 (Modified Proctor compaction).

8.4 Utility Support

Utilities can be supported on compacted fill or on approved native soils. The bedding material should extend at least 12 inches over the top of the utility unless otherwise required by the utility owner. Utility subgrade should be confirmed to be free of standing water, firm, and unyielding prior to placement of bedding material. Utility trenches above pipe bedding should be backfilled in accordance with the recommendations provided herein for fill compaction requirements using either previously excavated soil (if suitable), or with approved imported material. Utility trench backfill in non-structural areas should be compacted to a minimum of 90 percent of the maximum dry density and moisture conditioned to within 3 percent of the optimum moisture content, as determined by ASTM D1557 (Modified Proctor). Utility trench backfill within the building and pavement footprints should be compacted to a minimum of 95 percent of the maximum dry density and moisture conditioned to within 3 percent of the optimum moisture content, as determined by ASTM D1557 (Modified Proctor).

8.5 Stormwater Infiltration

Percolation test P-1 is comprised of clayey fine grained sands and percolation test P-2 is comprised of silty fine grained sands. Percolation test P-1 was performed in marine terrace

deposits and percolation test P-2 was performed in the existing fill slope on the eastern site limits. The measured percolation rates from P-1 and P-2 are 0.06 and 0.6 inches per hour at test depths of 5 to 10 feet below ground surface and 7 to 10 feet below ground surface, respectively. The corrected infiltration rates are 0.1 and 2.3 inches per hour at test depths of 5 to 10 feet and 7 to 10 feet, respectively.

8.6 Temporary Excavation Support

Temporary excavations are anticipated for the proposed development. The alluvial soils can be classified as Cal/Osha Type C soils. Temporary excavations will be required to facilitate belowgrade excavation for the proposed development and will need to be constructed in accordance with Cal/OSHA requirements. Based on our evaluation of subsurface data, and conceptual site plans, we anticipate excavations to be up to 12 feet max. Temporary slopes may be excavated no steeper than 1.5H:1V (horizontal:vertical).

It is anticipated that a 1.5H:1V temporary slope may require encroachment permits on the southern limits of the Site. If areas where 1.5H:1V temporary slopes are deemed not feasible, we anticipate a cantilever shoring wall (up to 12 feet) could be used. See Figure 10 for design earth pressures

Cantilever Shoring Wall:

- The soil pressure distribution for excavation support is a function of the type of excavation support system and the any bracing used. For design, the shoring system should be designed using a triangular pressure distribution having a maximum pressure of 35H reducing to zero towards the top of the wall, where H is the height of the wall in feet. Cantilever shoring adjacent to public right-of-ways should be designed for at-rest conditions with a maximum pressure of 55H.
- The design earth pressure on the lagging can be 0.6 times the earth pressure or a maximum of 400 psf in accordance with California Department of Transportation (2011), "Trenching and Shoring Manual," Revision 1, August 2011.
- Surcharge loading due to adjacent structures, traffic and construction loading within a distance of 30 feet from the wall top should be designed as a constant load equal to 1/3 the applied surcharge. Heavy concentrated construction surcharges (i.e. cranes, material storage, etc.) should be kept a minimum distance of 10 feet away from the wall.
- Passive resistance against soldier beams below the excavated level should be based on an equivalent fluid weight of 175 psf/foot beginning 3 feet below the lowest subgrade level in front of the soldier beams. This passive resistance includes a factor of safety of 2.0. A maximum of 3 times the width of the soldier beam can be considered as contributing to passive resistance. Care must be taken during construction so as not to excavate any soil providing lateral restraint to the shoring system's toe. To minimize vibration and avoid adversely impacting neighboring structures, we recommend placing soldier piles in predrilled and cleaned-out holes that are subsequently backfilled with grout or concrete.

9. PROTECTION OF NEIGHBORING STRUCTURES AND SITE FEATURES

All new construction work should be performed so as not to adversely impact or cause loss of support to structures, hardscape and landscape elements, paving, or utilities to remain. At a

minimum, a preconstruction conditions documentation comprised of photographic and videographic documentation of accessible and visible areas of neighboring landscaped, and hardscaped areas including pavements and sidewalks should be considered before beginning construction at the Site.

10. FUTURE STUDIES

At this time, we recommend performing the following supplemental studies:

- 1. A confirmation design geotechnical investigation and evaluation should be performed to satisfy future project and city of Newport Beach requirements, including:
	- a. Review structural loading, and confirm or refine preliminary foundation recommendations including types, bearing capacities, and anticipated settlements.
	- b. Review final civil and grading plan, structural plans and loads, perform final foundation analyses, and develop final foundation and temporary excavation recommendations.

To maintain our continuity of responsibility on this project, we recommend the above work be performed by LANGAN.

10. CONSTRUCTION DOCUMENTS AND QUALITY CONTROL

Technical specifications and design drawings should incorporate Langan's recommendations. When authorized, Langan will assist the design team in preparing specification sections related to geotechnical issues such as earthwork, ground improvement, shallow foundations, and backfill. Langan should also, when authorized, review foundation drawings prepared by the Structural Engineer, as well as Contractor submittals relating to materials and construction procedures for geotechnical work.

Langan has investigated and interpreted the site subsurface conditions and developed the foundation design recommendations contained herein, and is therefore best suited to perform quality assurance observation and testing of geotechnical-related work during construction. This work requiring quality assurance confirmation includes, but is not limited to, earthwork, backfill, ground improvement, shallow and deep foundations, and excavation support. Recognizing that construction is essentially the completion of design, Langan's quality assurance observation and testing during construction is necessary to maintain our continuity of responsibility on this project.

11. OWNER AND CONTRACTOR OBLIGATIONS

The Contractor is responsible for construction quality control, which includes satisfactorily constructing the foundation system and any associated temporary works to achieve the design intent while not adversely impacting or causing loss of support to neighboring structures. Construction activities that can alter the existing ground conditions such as excavation, fill placement, foundation construction, ground improvement, etc. can also potentially induce stresses, vibrations, and movements in nearby structures and utilities, and disturb occupants of nearby structures. Contractors working at the Site must ensure that their activities will not adversely affect the performance of the structures and utilities, and will not disturb occupants of nearby structures. Contractors must also take all necessary measures to protect the existing

structures during construction. By using this report, the Owner agrees that Langan will not be held responsible for any damage to adjacent structures.

12. LIMITATIONS

The conclusions and recommendations provided in this report are based on subsurface conditions inferred from a limited number of borings, as well as architectural information provided by the Client. Recommendations provided are dependent upon one another and no recommendation should be followed independent of the others.

Any proposed changes in structures or their locations should be brought to Langan's attention as soon as possible so that we can determine whether such changes affect our recommendations. Information on subsurface strata and groundwater levels shown on the logs represent conditions encountered only at the locations indicated and at the time of investigation. If different conditions are encountered during construction, they should immediately be brought to Langan's attention for evaluation, as they may affect our recommendations.

This report has been prepared to assist the Owner, Architect, and Structural Engineer in the design process and is only applicable to the design of the specific project identified. The information in this report cannot be utilized or depended on by engineers or contractors who are involved in evaluations or designs of facilities (including underpinning, grouting, stabilization, etc.) on adjacent properties which are beyond the limits of that which is the specific subject of this report.

Environmental issues (such as potentially contaminated soil) are outside the scope of this study and should be addressed in a separate study.

\\langan.com\data\IRV\data8\700048801\Office Data\Reports\Geotechnical\Updated Preliminary GIR 2018\700048801 Updated Preliminary Geotechnical Investigation Report.docx

13. REFERENCES

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FIGURES

LEGEND:

 \Box Site Location

Fault Age

The age classifications are based on geologic evidence to determine the youngest faulted unit and the oldest unfaulted unit along each fault of fault seciton

Historic

Holocene

Late Quaternary

Quaternary

 \Box 100 km

Pre Quaternary Faults

- fault, certain
- $---$ fault, approx. located
- fault, concealed
- \rightarrow thrust fault, certain
- $-\leftarrow$ thrust fault, approx. located
- ... A... thrust fault, approx. located, queried
- $\overline{}$ fault, certain, barball
- ... t... fault, concealed, barball
- $-\frac{1}{\tau}$ fault, approx. located, barball

Quaternary Faults

- \leftarrow fault, certain
- $--$ fault, approx. located
- \rightarrow fault, approx. located, queried
- -2 fault, inferred, queried
- **Figular** concealed
- \cdots fault, concealed, queried
- \rightarrow thrust fault, certain
- $-\rightarrow$ thrust fault, approx. located
- **thrust fault, concealed**
- **-** dextral fault, certain
- $---$ dextral fault, approx. located
- dextral fault, concealed
- **Solution** sinistral fault, certain
- --- sinistral fault, approx. located
- **Sinistral fault, concealed**
- thrust fault, certain (2)
- $-$ thrust fault, approx. located (2)
- \cdots thrust fault, concealed (2)
- $\overline{}$ fault, solid, barball
- $-\dot{+}$ fault, dashed, barball
- fault, dotted, barball
- $\overline{}$ dextral fault, solid, barball
- $\frac{1}{2}$ fault, dotted, queried, ballbar
- $\frac{1}{2}$ fault, dotted, queried, ballbar (2)

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- fault, solid, dip
- $--$ fault, dashed, dip
- **fault**, dotted, dip
- $\overline{}$ reverse fault, solid
- $-$ reverse fault, dashed
- reverse fault, dotted

APPENDIX A EQSEARCH & USGS ANSS RESULTS

Notes:

1. Earthquake Catalog search results obtained from USGS ANSS Comprehensive Catalog on 12 December 2018.

2. Refer to USGS ANSS Comprehensive Catalog and USGS Earthquake Hazards Program for additional information on magnitude types.

3. Earthquake Catalog search results include earthquake events within 100 km of the Project Site with magnitudes of 5.0 or greater since 1900.

700048801_4302 Ford Road

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> ESTIMATION OF PEAK ACCELERATION FROM CALIFORNIA EARTHQUAKE CATALOGS

JOB NUMBER: 700048801

DATE: 10-24-2017

JOB NAME: 4302 Ford Road

EARTHQUAKE-CATALOG-FILE NAME: C:\Program Files (x86)\EQSEARCH\February 2016 Update\ALLQUAKE.DAT

MAGNITUDE RANGE: MINIMUM MAGNITUDE: 5.00 MAXIMUM MAGNITUDE: 9.00

SITE COORDINATES: SITE LATITUDE: 33.6290 SITE LONGITUDE: 117.8610

SEARCH DATES: START DATE: 1800 END DATE: 2017

SEARCH RADIUS: 62.6 mi 100.7 km

ATTENUATION RELATION: 14) Campbell & Bozorgnia (1997 Rev.) - Alluvium UNCERTAINTY (M=Median, S=Sigma): S Number of Sigmas: 1.0 ASSUMED SOURCE TYPE: SS [SS=Strike-slip, DS=Reverse-slip, BT=Blind-thrust] SCOND: 0 Depth Source: A Basement Depth: 5.00 km Campbell SSR: 0 Campbell SHR: 0 COMPUTE PEAK HORIZONTAL ACCELERATION

MINIMUM DEPTH VALUE (km): 3.0

------------------------- EARTHQUAKE SEARCH RESULTS

Page 1

700048801_4302 Ford Road

------------------------- EARTHQUAKE SEARCH RESULTS -------------------------

Page 2

*** -END OF SEARCH- 64 EARTHQUAKES FOUND WITHIN THE SPECIFIED SEARCH AREA.

TIME PERIOD OF SEARCH: 1800 TO 2017

LENGTH OF SEARCH TIME: 218 years

THE EARTHQUAKE CLOSEST TO THE SITE IS ABOUT 6.1 MILES (9.9 km) AWAY.

LARGEST EARTHQUAKE MAGNITUDE FOUND IN THE SEARCH RADIUS: 7.0

LARGEST EARTHQUAKE SITE ACCELERATION FROM THIS SEARCH: 0.411 g

COEFFICIENTS FOR GUTENBERG & RICHTER RECURRENCE RELATION:

 a-value= 0.973 b-value= 0.345 beta-value= 0.794

------------------------------------ TABLE OF MAGNITUDES AND EXCEEDANCES: ------------------------------------

APPENDIX B BORING LOGS

 \blacksquare

APPENDIX C CPT LOGS

Kehoe Testing and Engineering 714-901-7270 rich@kehoetesting.com www.kehoetesting.com

Project: Langan Eng. & Environmental Services Bonita Canyon Dr & MacArthur Blvd Newport Beach, CA Cone Type: Vertek **Location:**

CPeT-IT v.2.0.1.55 - CPTU data presentation & interpretation software - Report created on: 10/6/2017, 9:00:24 AM 0 Project file: C:\LanganNewportBch10-17\Plot Data\Plots.cnt

Total depth: 70.41 ft, Date: 10/5/2017 **CPT-1**

Kehoe Testing and Engineering 714-901-7270 rich@kehoetesting.com www.kehoetesting.com

Project: Langan Eng. & Environmental Services Bonita Canyon Dr & MacArthur Blvd Newport Beach, CA Cone Type: Vertek **Location:**

APPENDIX D LABORATORY TEST RESULTS

MOISTURE DENSITY TESTS

WASH #200 SIEVE - ASTM D 1140-92

Job Name Langan # 700048801 **Date** 10-15

Job No. 2012-0057 By LD

Langan # 700048801 CONSOLIDATION TEST - ASTM D2435 Job No. 2012-0057

Langan Engineering # 700048801

APPENDIX E PERCOLATION TEST RESULTS

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